

Audits finalised in 2016/17

Responsible Department	Title of Review	Status	Assurance Opinion	High Agreed	Med Agreed	Low Agreed
Corporate	Vetting of Staff	FINAL	Amber	0	4	0
Corporate	Pre-Contract Appraisal	FINAL	Amber	0	2	3
Corporate	Project Management	FINAL	Amber	0	2	0
Town Clerks	City Bridge Trust Grants	FINAL	Green	0	0	0
Town Clerks	Guildhall Club Accounts	FINAL	Green	0	0	0
Chamberlains	VAT Management	FINAL	Amber	0	4	7
Chamberlains	Procurement Cards	FINAL	Amber	0	2	4
Chamberlains	ITIL Compliance	FINAL	Amber	0	1	11
Chamberlains	Wifi Security and Operations	FINAL	Red	1	4	0
Chamberlains	Cloud Security	FINAL	Green	0	0	0
Chamberlains	Data Security	FINAL	Amber	0	3	2
Chamberlains	IT - City Procurement Application Review	FINAL	Green	0	0	6
Chamberlains	IT Asset Management	FINAL	Red	2	8	2
Chamberlains	IT Network Infrastructure (SekChek)	FINAL	Red	1	7	3
Chamberlains	Central Procurement	FINAL	Green	0	0	1
Built Environment	Building Control	FINAL	Green	0	0	1
Culture Heritage and Libraries	City Information Centre	FINAL	Amber	0	2	1
Culture Heritage and Libraries	Library Book Audio and Visual Stock	FINAL	Amber	0	2	4
City of London School for Girls	Institutional Review	FINAL	Amber	0	6	3
City of London School	Institutional Review	FINAL	Amber	0	7	4
City of London Freemen's School	Institutional Review	FINAL	Green	0	2	2
Mansion House	Security and Asset Management	FINAL	Green	0	1	1
Mansion House	Annual Plate Review	FINAL	Green	0	0	0
DCCS	Departmental Review	FINAL	Green	0	1	6
DCCS	Barbican Estates Strategic Review of Car	FINAL	N/A	0	1	2

Responsible Department	Title of Review	Status	Assurance Opinion	High Agreed	Med Agreed	Low Agreed
	Parking					
DCCS	Commissioning and Contract Management	FINAL	Amber	0	3	4
DCCS	Barbican Library	N/A	Amber	0	0	4
Markets & Consumer Protection	Performance Measures	FINAL	Green	0	0	2
Markets & Consumer Protection	Spitalfields Fork Lift Truck & Permit Management	FINAL	Green	0	0	0
Markets & Consumer Protection	Spitalfields Market Code of Conduct	FINAL	N/A	0	0	1
Police	Standard Operating Procedures	FINAL	Amber	0	1	5
Police	Economic Crime Academy	FINAL	Amber	0	5	1
Police	Community Consultation	FINAL	Amber	0	1	0
Police	Grants Audits	FINAL	Green	0	0	0
Police	Use of Procurement Cards	FINAL	Amber	0	8	1
Police	Officers' Allowances and Ad Hoc Payments	FINAL	Green	0	0	0
Police	Use of Fuel Cards	FINAL	Red	1	4	0
Open Spaces	Chingford Golf Course	FINAL	Amber	0	7	3
Open Spaces	Monument Cash Review	FINAL	N/A	0	5	2
Barbican Centre	Major Incident Planning	FINAL	Green	0	0	1
Barbican Centre	Catering	FINAL	Amber	0	3	2
Barbican Centre	Car Parking System	FINAL	Green	0	1	2
Barbican Centre	Consultancy Payments	FINAL	N/A	0	4	3
City Surveyor's	Directly Managed Property Lease Issue	FINAL	Amber	0	3	1
City Surveyor's	Rents, Lettings and Vacancies	FINAL	Amber	0	1	1
		TOTAL	45	5	105	96
				Red	Amber	Green
	Total where assurance provided	TOTAL	40	10%	50%	40%